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PTO/SB/21 (08-00)

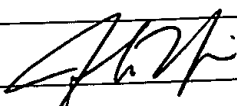
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	Not Yet Assigned	
	Filing Date		
	First Named Inventor	Eric C. Martin, M.D.	
	Group Art Unit	N/A	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	WO 370009 / 09400747

U.S. PTO
10/036445
01/07/02

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) 36 references
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	FULBRIGHT & JAWORSKI L.L.P. John J. Yim	
Signature	 Reg. No 47, 197	
Date	January 7, 2002	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Eric C. MARTIN

Serial No. TBA

Filed: Concurrently herewith

For: A BIFURCATED STENT FOR PERCUTANEOUS
ARTERIALIZATION OF THE CORONARY
SINUS AND RETROGRADE PERFUSION
OF THE MYOCARDIUM

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* Examiner: Not Assigned
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* Group: TBD
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JC832 U.S. PTO
10/036445
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KS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

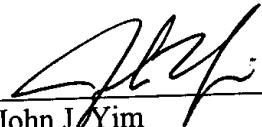
Submitted herewith on an Information Disclosure Statement form, in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56, is a listing of documents known to Applicant. A copy of each of these documents is provided herewith to comply with the provisions of C.F.R. §§1.97-1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate, or otherwise remove as a competent reference, any document which is determined to be a *prima facie* prior art reference against the claims of the present invention.

Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of the Information Disclosure Statement be returned in accordance with MPEP § 609.

Respectfully submitted,

Date: 1/7/02

By: 
John J. Yim
Registration No. 47,197

Fulbright & Jaworski L.L.P.
801 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2615
Telephone: 202-662-0200

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Substitute for form 1449A/PTO <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <i>(use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	
				First Named Inventor	Eric C. Martin, M.D.
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	3	Attorney Docket Number	WO-370009 / 09400747

[illegible][illegible]

Examiner Signature	Date Considered	
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¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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			First Named Inventor	Eric C. Martin, M.D.	
			Group Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	2	of	3	Attorney Docket Number	WO-370009 / 09400747

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Gensini, G.G., et al., Anatomy of the Coronary Circulation in Living Man, Circulation, May 1965, pp. 778-84, Vol. XXXI	
	C2	Eckstein, R.W., et al., Acute Effects of Elevation of Coronary Sinus Pressure, Circulation, March 1953, pp. 422-36, Vol. VII	
	C3	Gardner, R.S., et al., Arterialization of Coronary Veins in the Treatment of Myocardial Ischemia, The Journal of Thoracic and Cardiovascular Surgery, August 1974, pp. 273-82, Vol. 68, No. 2	
	C4	Zajtchuk, R., et al., Revascularization of the Heart through the Coronary Veins, The Annals of Thoracic Surgery, April 1976, pp. 318-21, Vol. 2, No. 4	
	C5	Patel, N.H., et al., Percutaneous Transmyocardial Intracardiac Retroperfusion Shunts: Technical Feasibility in a Canine Model, Journal of Vascular and Interventional Radiology, March 2000, pp. 382-90, Vol. 11, No. 3	
	C6	Rosch, J., et al., Coaxial Catheter-Needle System for Transjugular Portal Vein Entrance, Journal of Vascular and Interventional Radiology, Jan.-Feb. 1993, pp. 145-47, Vol. 4	
	C7	Schofield, P.M., et al., Transmyocardial Laser Revascularisation in Patients with Refractory Angina: A Randomised Controlled Trial, The Lancet, Feb. 13, 1999, pp. 519-24, Vol. 353	
	C8	Horvath, K.A., et al., Transmyocardial Laser Revascularization: Results of a Multicenter Trial with Transmyocardial Laser Revascularization Used as Sole Therapy for End-Stage Coronary Artery Disease, The Journal of Thoracic and Cardiovascular Surgery, April 1997, pp. 645-53, Vol., 113, No. 4	
	C9	Mohl, W., The Momentum of Coronary Sinus Interventions Clinically, Circulation, Jan. 1988, pp. 6-12, Vol. 77, No. 1	
	C10	Mohl, W., The Relevance of Coronary Sinus Interventions in Cardiac Surgery, Thorac. Cardiovasc. Surgeon, 1991, pp., 245-50, Vol. 39	
	C11	Kar, S., et al., Coronary Veins: An alternate Route to Ischemic Myocardium, Heart & Lung, March 1992, pp. 148-57, Vol. 21, No. 2	
	C12	Meerbaum, S., et al., Diastolic Retroperfusion of Acutely Ischemic Myocardium, The American Journal of Cardiology, March 31, 1976, pp. 588-98, Vol. 37	

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Sheet	3	of	3	Attorney Docket Number	WO-370009 / 09400747

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C13	Park, S.B., et al., Direct Selective Myocardial Revascularization by Internal Mammary Artery-Coronary Vein Anastomosis, The Journal of Thoracic and Cardiovascular Surgery, Jan. 1975, pp. 63-72, Vol. 69, No. 1	
	C14	Hochberg, M.S., Selective Arterialization of the Coronary Venous System, The Journal of Thoracic and Cardiovascular Surgery, Jan. 1979, pp. 1-12, Vol. 77, No. 1	
	C15	Chiu, C.J., et al., Selective Arterialization of Coronary Veins for Diffuse Coronary Occlusion, The Journal of Thoracic and Cardiovascular Surgery, July 1975, pp., 177-82, Vol. 70, No. 1	
	C16	Bhayana, J.N., et al., Reversal of Myocardial Ischemia by Arterialization of the Coronary Vein, Jan. 1974, pp. 125-32, Vol. 67, No. 1	
	C17	Beck, C.S., et al., Scientific Basis for the Surgical Treatment of Coronary Artery Disease, Journal of American Medical Association, Nov. 26, 1955, pp. 1264-71, Vol. 159, No. 13	
	C18	Lazar, H.L., Coronary Sinus Interventions during Cardiac Surgery, The Annals of Thoracic Surgery, Oct. 1988, pp. 475-82, Vol. 46, No. 4	
	C19	Moll, J.W., et al., Arterialization of the Coronary Veins in Diffuse Coronary Arteriosclerosis, J. Cardiovas. Surg., 1975, pp. 520-25, Vol. 16	
	C20	Beck, C.S., Revascularization of the Heart, Surgery, July, 1949, pp. 82-88, Vol. 26, No. 1	
	C21	Beck, C.S., et al., Operation for Coronary Artery Disease, Journal of American Medical Association, Dec. 29, 1951, pp. 1726-31, Vol. 147, No. 18	
	C22	Marco, J.D., et al., Coronary Venous Arterialization: Acute Hemodynamic, Metabolic, and Chronic Anatomical Observations, The Annals of Thoracic Surgery, May 1977, pp. 449-54, Vol. 23, No. 5	
	C23	Beck, C.S., et al., Operations for Coronary Artery Disease, Journal of American Medical Association, Nov. 27, 1954, pp. 1226-33, Vol. 156, No. 13	
	C24	Eckstein, R.W., et al., Chronic Effects of Aorta-Coronary Sinus Anastomosis of Beck in Dogs, Circulation Research, Jan. 1954, pp. 60-72, Vol. 11	

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